



3852

PATENT  
Docket No. 39303-20273.00

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

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Commissioner for Patents, Alexandria, VA 22313-1450, on January 5, 2005.

  
Cheryl Y. Price

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Yoshio FUJITA, et al.

Serial No.: 09/912,963

Filing Date: July 25, 2001

For: MULTI-TRACK DIGITAL  
RECORDING-REPRODUCING  
APPARATUS AND METHOD, MULTI-  
TRACK DIGITAL RECORDING-  
REPRODUCING PROGRAM

Examiner: Not Yet Assigned

Group Art Unit: 2652

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b.

Applicants have become aware of the following documents cited in an International Search Report issued December 9, 2004, during the prosecution of foreign application which corresponds to the above referenced application. Copies of the documents are also submitted

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herewith along with a copy of the International Search Report. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_\_ is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \_\_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

The undersigned hereby certifies that each item contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.


Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

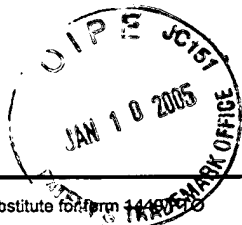
In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 393032027300. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 5, 2005

Respectfully submitted,

By:   
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ALTERNATIVE TO PTO/SB.08a/b (06-03)

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/912,963		
		Filing Date	July 25, 2001		
		First Named Inventor	Yoshio FUJITA		
		Art Unit	2652		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	393032027300

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-5,515,352	05-07-1996	Iizuka	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	BA	JP-58105414	06-23-1983	Matsushita Electric Ind. Co. Ltd.	Abstract only	

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Signal Processing of a 20-Bit 8-Channel Digital Audio Recorder, T. IWAKI, et al., 8087 IEEE Transactions on Consumer Electronics 36 (1990) August, No. 3, New York, US	
	CB	Yamaha Corporation, AW4416, Professional Audio Workstation Operation Guide, Internet Article, Online, September 2, 2003, pp. 1-284, XP-002308287	
	CC	Yamaha Corporation, AW4416 Professional Audio workstation Reference Guide, Internet Article, Online!, September 2, 2003, pp. 1-190, XP-002308286	
	CD	Yamaha Corporation, AW4416 Professional Audio Workstation, Internet Article, Online!, October 20, 2003, pp. 1-6, XP002308285	
	CE	Yamaha Corporation, Chapter 5, Recording on the AW4416, Operation Guide, pp. 73-174	
	CF	Yamaha Corporation, Chapter 11, Song Management, AW4416, Operation Guide, pp. 175-269,	
	CG	Yamaha Corporation, AW416 Reference Guide, Appendix, pp.1-54	
	CH	Sound on Sound, AW Inspiring, Yamaha AW4416 Digital Audio Workstation, XP002308283, November 23, 2004, pp. 1-6	
	CI	Playmaster 145, XP008039488, Electronics Australia, Leo SIMPSON, February 1975, pp. 42-47	
	CJ	Recording System Integrates Digital Mixer and Recorder, Hiromi SOHTOME, Yamaha Corporation, JEE Journal of Electronic Engineering Special Issue NAB 90, 27 (1990) Tokyo, Japan, pp. 76-79	
	CK	Mixing Console Automation, Record Producer, November 23, 2004, XP002308284, pp.1-2	

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
la- 768249			